

Ultimate Beneficial Owner (UBO) statement

Why this form?

Insurers and other parties involved in an insurance have to comply with financial supervision regulations and legislation, such as the Money Laundering and Terrorist Financing (Prevention) Act, the Financial Supervision Act and the sanctions regulations and legislation, requiring us to conduct a customer due diligence review prior to concluding an insurance contract. The above mentioned customer due diligence review also applies when paying out claims to a third party beneficiary (not being the policy holder). Without a customer due diligence review we cannot process your proposal or payments. Part thereof is to determine the Ultimate Beneficial Owners, abbreviated to 'UBO', and check them against the sanction lists. We therefore urge you to complete this form as soon as possible.

Who are your UBOs?

Is your organisation a legal entity, such as an association, foundation, co-operative, mutual benefit company, public company limited by shares, private company with limited liability or a similar legal form under foreign law, then your UBO is:

- the natural person who holds a direct or indirect interest of 50% or more in the capital of your organisation and/or
- the natural person who can, direct or indirectly, exercise 50% or more of the voting rights at the general meeting of your organisation and/or
- the natural person who is the beneficiary of 50% or more of the assets of your organisation and/or
- the natural person who has special control of 50% or more of the assets of your organisation. This is understood to mean that the person has a special controlling right laid down in the articles of association for instance and/or
- the natural person who has *effective control*^(*) of your organisation.

Note: if the shares in your organisation have been transferred to a Trust Foundation, the holders of the corresponding depository receipts may qualify as UBO based on the above-mentioned criteria.

Is your organisation a one-man business or unincorporated partnership, such as a general, trading or limited partnership or a similar legal form under foreign law, then your UBO is:

- the natural person who in case of dissolution of your organisation is entitled to 50% or more of the dissolved community and/or
- the natural person who is entitled to 50% or more of the profits of your organisation and/or
- the natural person who can exercise 50% or more of the voting rights if decision-making in your organisation requires a majority of votes and/or
- the natural person who has *effective control*^(*) of your organisation.

Details of your organisation

Registration category

Registration number

Name

Address

UBO form ID

UBO percentage 50%

^(*) *effective control* of a legal entity or unincorporated partnership is the case if a natural person:

- (i) has the authority to appoint or discharge the majority of a supervisory, executive or administrative body,
- (ii) has control of the majority of the voting rights,
- (iii) has an otherwise controlling influence on the organisation and/or
- (iv) has the power of disposal of (part of) the assets of the organisation.

Note: there has to be at least 1 person with effective control.

^(**) according to the articles of association (if a legal entity), according to the Chamber of Commerce (if an unincorporated partnership).

Questionnaire

The following questions have to be answered to identify the UBOs of your organisation. If you answer one or more questions with Yes, please fill in the details of these natural persons on the next page. When in doubt, please fill in the details of potential UBOs on the next page as well. **Attention: there may be more than one UBO.**

1. Are there natural persons who hold 50% or more of the shares in the legal entity either directly or through another legal entity?

Yes

No

2. Are there natural persons who are entitled to or have special control of 50% or more of the assets of the organisation?

Yes

No

3. Are there natural persons who are entitled to a share of 50% or more in the profits of the organisation?

Yes

No

4. Are there natural persons who can exercise 50% or more of the voting rights at the general meeting of the organisation?

Yes

No

In addition to the persons qualifying as UBO based on questions 1 through 4, persons with effective control^(*) are UBOs too. In this respect board members and/or authorised signatories should be considered.

Did you answer question **1** with **Yes**, then please fill in the details of all UBOs on the next page, stating the **% Share** per UBO.

Did you answer question **2, 3** or **4** with **Yes**, then please fill in the details of all UBOs on the next page and tick the field **Control**. In addition, please fill in the details of persons with effective control^(*).

Note: there has to be at least 1 person with effective control^(*).

If one UBO holds a **% Share** and has **Control** as well, then please state both.

If in your opinion no UBO can be identified, please fill in the details of the natural persons who are authorised to take board resolutions and to sign on behalf of your organisation.

Per UBO, completion of all fields except Insertion(s) is required as well as 1 of the fields % Share or Control

Details UBO 1

Given names	[Redacted]	Home address	[Redacted]
Insertion(s)	[Redacted]		[Redacted]
Surname	[Redacted]	Postcode	[Redacted]
Gender		City	[Redacted]
Date of birth		Country	
Place of birth	[Redacted]		
Native country		Nationality	
% Share	and/or	Control	

Details UBO 2

Given names	[Redacted]	Home address	[Redacted]
Insertion(s)	[Redacted]		[Redacted]
Surname	[Redacted]	Postcode	[Redacted]
Gender		City	[Redacted]
Date of birth		Country	
Place of birth	[Redacted]		
Native country		Nationality	
% Share	and/or	Control	

Details UBO 3

Given names	[Redacted]	Home address	[Redacted]
Insertion(s)	[Redacted]		[Redacted]
Surname	[Redacted]	Postcode	[Redacted]
Gender		City	[Redacted]
Date of birth		Country	
Place of birth	[Redacted]		
Native country		Nationality	
% Share	and/or	Control	

Details UBO 4

Given names	[Redacted]	Home address	[Redacted]
Insertion(s)	[Redacted]		[Redacted]
Surname	[Redacted]	Postcode	[Redacted]
Gender		City	[Redacted]
Date of birth		Country	
Place of birth	[Redacted]		
Native country		Nationality	
% Share	and/or	Control	

Privacy

Personal data processing is subject to the General Data Protection Regulation. The data obtained by this form are processed for the purposes of the customer due diligence review to be conducted by insurers and other parties involved in an insurance contract in order to comply with the Customer Due Diligence (CDD) obligations arising from the Financial Supervision Act and sanction rules and laws. The data are also processed to prevent and combat fraud towards financial institutions and to carry out statistical analyses. The data may be processed by third parties to enable financial services providers to conduct (customer due diligence) reviews. The party responsible for processing the personal data obtained by this UBO form, is the legal entity named in the communication you received along with this UBO form.

Disclaimer

Signing this form has no bearing on the insurance contract. The conclusion of an insurance contract requires the prior written acceptance of the proposed risk by the insurer(s).

Sanction provision

The insurers are not bound to provide cover or indemnity under an insurance if this would constitute an infringement on sanction regulations or legislation that prohibit insurers from providing cover or indemnity.

Signature

The undersigned, either severally or jointly authorised to sign on behalf of the organisation and thereby bind it, declare(s) to have completed this form in full and truthfully.

By this signature you agree to inform the organisation from which you received this form as soon as possible in case of any change related to the UBOs of your organisation.

A case in point would be if your organisation gets a new, additional UBO, if the details of the existing UBOs of your organisation change or if existing UBOs of your organisation can no longer be considered UBO.

Signatory 1

Name signatory

Job title

Date

Place

Signature

Signatory 2

Name signatory

Job title

Date

Place

Signature